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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 042390.P9770	Application Number: 09/752,134
Page 1 of 2 		First Named Inventor: Gilbert Neiger, et al.	
		Filing Date: December 27, 2000	

U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code ²	Kind (If known)			
KS		5,455,909		James S. Blomgren, et al.	10-03-1995	

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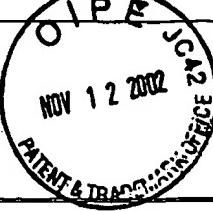
Exam. Initial*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD- YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind (If known)				
KS			EP0600112	A1	Siemens Nixdorf Information System	06-08-1994		X Abstract only
KS			EP0930567	A3	Texas Instruments, Inc.	07-21-1999		
KS			EP1146715	A1	ContentGuard Holdings, Inc.	10-17-2001		
KS			WO 98/34365		AT & T Corporation	08-06-1998		
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KS			WO 00/62232		Digital Media On Demand, Inc.	10-19-2000		

Examiner Signature	<i>Kevin Schubert</i>	Date Considered	<i>10/6/04</i>
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 042390.P9770	Application Number: 09/752,134
Page 2 of 2 		First Named Inventor: Gilbert Neiger, et al.	RECEIVED
		Filing Date: December 27, 2000	DEC 04 2002

OTHER ART - NO PATENT LITERATURE DOCUMENTS *Technology Center 2100*

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²
KS		BERG, Cliff, "How Do I Create A Signed Applet?" Dr. Dobb's Journal, August 1997, pages 1-9	
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Examiner Signature	<i>Kevin Schubert</i>	Date Considered	10/6/04
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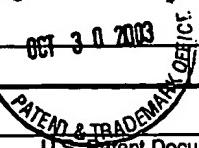
Substitute for Form 1449A/PTO (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Sheet	1	of	4	Attorney Docket Number	042390.P9770
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Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner Signature	<i>Kevin Schubert</i>	Date Considered	10/7/04
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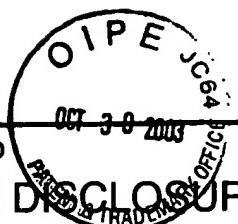
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<p>Substitute for Form 1449/PTO</p> <p style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(use as many sheets as necessary)</i></p>				<p style="text-align: center;"><i>OCT 30 2008</i></p> <p style="text-align: center;">PATENT & TRADEMARK OFFICE</p>											
				<p>Complete if Known</p> <table border="1"> <tr> <td>Application Number</td> <td>09/752,134</td> </tr> <tr> <td>Filing Date</td> <td>12/27/00</td> </tr> <tr> <td>First Named Inventor:</td> <td>G. Neiger, et al.</td> </tr> <tr> <td>Art Unit</td> <td>2186</td> </tr> <tr> <td>Examiner Name</td> <td>Unassigned</td> </tr> </table>		Application Number	09/752,134	Filing Date	12/27/00	First Named Inventor:	G. Neiger, et al.	Art Unit	2186	Examiner Name	Unassigned
Application Number	09/752,134														
Filing Date	12/27/00														
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Sheet	3	of	4	Attorney Docket Number											
U.S. PATENT DOCUMENTS															
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear										
		Number-Kind Code ² (if known)													
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Sheet	4	of	4	Attorney Docket Number	042390.P9770
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Complete if Known

Application Number	09/752,134
Filing Date	12/27/00
First Named Inventor:	G. Neiger, et al.
Art Unit	2186
Examiner Name	Unassigned

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
KS		CHIEN, ANDREW A., et al., "Safe and Protected Execution for the Morph/AMRM Reconfigurable Processor," 7th Annual IEEE Symposium, FCCM '99 Proceedings 4/21/1999, pp. 209-221, XP010359180, ISBN: 0-7695-0375-6, Los Alamitos, CA.	
KS		HEINRICH, J., "MIPS R4000 Microprocessor User's Manual," Chapter 4, Memory Management, pages 61-97, 1993	
KS		IBM Technical Disclosure Bulletin, "Information Display Technique For A Terminate Stay Resident Program," Vol. 34, Issue No. 7A, Pages 156-158, December 1, 1991.	
KS		KARGER, PAUL A., et al., "A VMM Security Kernel for the VAX Architecture," Proceedings of the Symposium on Research in Security and Privacy, 5/7/1990, pp. 2-19, XP010020182, ISBN: 0-8186-2060-9, Boxborough, MA.	
KS		KASHIWAGI, KAZUHIKO, et al., "Design and Implementation of Dynamically Reconstructing System Software," Software Engineering Conference, 1996 Asia Pacific Seoul, South Korea, IEEE Comput. Soc. December 4, 1996, pages 278-287	RECEIVED
KS		PCT Search Report dated 7/29/03 (U.S. Patent No. '075 Previously cited).	NOV 03 2003
KS		PCT Search Report dated 7/22/03	Technology Center 2100
KS		ROBIN, JOHN SCOTT and IRVINE, CYNTHIA E., "Analysis of the Pentium's Ability to Support a Secure Virtual Machine Monitor," Proceedings of the 9th USENIX Security Symposium, 8/14/2000, pp. 1-17, XP002247347, Denver, CO.	
KS		TRUSTED COMPUTING PLATFORM ALLIANCE (TCPA), Main Specification Version 1.1a, 321 pages, Copyright 2000-2001	

Examiner Signature	Kevin Schubert	Date Considered	10/7/04
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Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	09/752,134
		Filing Date	December 27, 2000
		First Named Inventor	Neiger, Gilbert
		Group Art Unit	2132
		Examiner Name	Unknown
Sheet 1 of 2		RECEIVED MAR 15 2004 Technology Center 2100	
		Attorney Docket No: 042390.P09770	

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PATENT & TRADEMARK OFFICE*

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate

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Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
	EP-EP0473913	03/11/1992	Farrell, Joel A.			
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Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
KS		BRANDS, STEFAN , "Restrictive Blinding of Secret-Key Certificates", SPRINGER-VERLAG XP002201306, (1995),Chapter 3	
KS		CHIEN, ANDREW A., et al., "Safe and Protected Execution for the Morph/AMRM Reconfigurable Processor", 7th Annual IEEE Symposium, FCCM '99 Proceedings, XP010359180, ISBN 0-7695-0375-6, Los Alamitos, CA, (4/21/1999),209-221	
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KS		ROBIN, JOHN S., et al., "Analysis of the Pentium's Ability to Support a Secure Virtual Machine Monitor", Proceedings of the 9th USENIX Security Symposium,	

EXAMINER

Kevin Schubert

DATE CONSIDERED

10/6/04

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. If applicant's unique citation designation number (optional) a check mark here if English language Translation is attached

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Complete if Known

Application Number	09/752,134
Filing Date	December 27, 2000
First Named Inventor	Neiger, Gilbert
Group Art Unit	2132
Examiner Name	Unknown

Sheet 2 of 2

Attorney Docket No: 042390.P09770

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS		
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EXAMINER

Klein Schubert

DATE CONSIDERED

10/16/04

Electronic Information Disclosure Statement



Processor Mode for Limiting the Operation of Guest Software Running on a Virtual Machine Supported by a Virtual Machine Monitor

Application: 
09/752134

Confirmation: 8719

Applicant(s): Gilbert Neiger

Docket
Number: 042390P9770

Group Art

Unit:

Examiner: Unassigned

search string: (4,278,837 or 4,307,214 or 4,366,537 or 4,521,852 or 5,075,842 or 5,022,077 or 5,255,379 or 5,293,424 or 5,421,006 or 5,459,869 or 5,473,692 or 5,479,509 or 5,568,552 or 5,615,263 or 5,657,445 or 5,717,903 or 5,729,760 or 5,764,969 or 5,796,845 or 5,805,712 or 5,835,594 or 5,844,986 or 5,890,189 or 5,937,063 or 5,978,481 or 5,987,557 or 6,014,745 or 6,058,478 or 6,158,546 or 6,175,925 or 6,178,509 or 6,205,550 or 6,282,651 or 6,282,657 or 20010037450).pn.

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Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
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			1977-07-				

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KS	P05	5,075,842	1991-12-24		Lai	
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Published Applications

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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Remarks

(Remarks are not for responding to an office action.)

Applicants, in accordance with their duty of disclosure under 37 CFR 1.56 and in accordance with 37 CFR 1.97(b)(3), hereby submit this Electronic Information Disclosure Statement citing U.S. Patent Documents for consideration by the Examiner. * Pursuant to 37 CFR 1.97, the submission of this Electronic Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability. * This Information Disclosure Statement is being filed prior to a substantive examination of the claims. Pursuant to 37 CFR 1.97(b), no fee should be required for the filing of this Information Disclosure Statement. In the event it is determined that a fee is due, please charge the fee to Deposit Account 02-2666. * Applicants respectfully requests that the cited documents be considered and that the form be initialed by the Examiner to indicate such consideration and a copy thereof be returned to Applicants' attorney of record. *

Signature

Examiner Name	Date
Kevin Schubert	10/6/04